

The Global Participation Fund

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Background

Increasing Global Participation is one of the four themes of our new strategic plan



Increasing Global Participation

Key Insights	Strategic Priorities
<p>Universal uptake of ORCID is inherent in our mission, and our current member organizations think that it is very important that ORCID is adopted universally around the world.</p> <p>However, although ORCID has good participation among researchers in most parts of the world, organizational membership is lacking in key geographies such as China, India, Russia and much of the Global South.</p>	<p>In order to drive membership in low and lower-middle income countries, we will move from a fee structure based on equality (everyone pays the same) to one based on equity (fees are adjusted according to regional affordability) while also supporting the development of local expertise and communities of practice.</p> <p>While continuing to encourage researcher participation everywhere, we will focus our efforts on developing organizational membership in countries where:</p> <ul style="list-style-type: none">■ We believe we have the most potential to grow new membership based on factors such as research intensiveness and current researcher participation in ORCID.■ There is natural alignment of ORCID values and research norms. <p>We are prepared to develop new partnership models with national or regional organizations that have both the local knowledge and resources to spearhead organizational membership growth in their locations.</p>

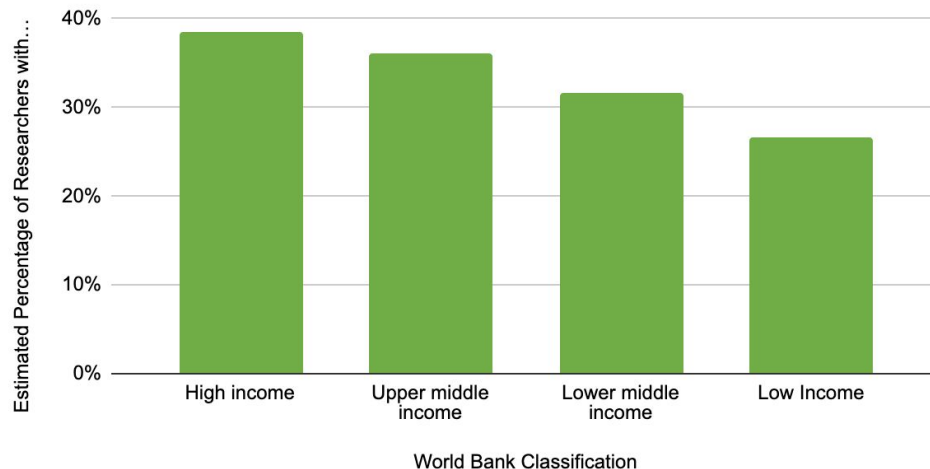
ORCID adoption is currently higher in countries with higher income levels



- Based on an analysis of ORCID adoption by established authors in 59 countries*, using Dimensions, Crossref and ORCID data
- While indicative, this data points to the disadvantages that researchers in the Global South face in getting equitable visibility and recognition for their work

(* Simon Porter, Digital Science)

Estimated Percentage of Researchers with ORCID IDs vs. World Bank Classification



One of ORCID's Diversity, Equity and Inclusion (DEI) goals is to increase the adoption of ORCID in underrepresented regions



Desired Outcomes

- Help researchers in the Global South increase their visibility and claim credit for their work
- Improve funder, publisher and policy maker understanding of the contribution and impact of researchers in those countries
- Improve the ability of academic institutions to better understand their global collaborations and benchmark their performance against others

Approach

- Foster the development of communities of practice in low- and lower-middle income countries by adapting ORCID's existing national/regional consortia model
- Work with local partners who can build understanding and use of ORCID in local contexts
- Target specific technical integrations that will drive adoption or use of ORCID in under-represented communities

ORCID's **Global Participation Program (GPP)** is a two-pronged approach to achieving this vision



Membership Equity Program (MEP)

- Discounted membership fee structure for consortium members:
 - 80% discount for low-income countries*
 - 50% discount for lower-middle income countries*
- Fully funded by ORCID

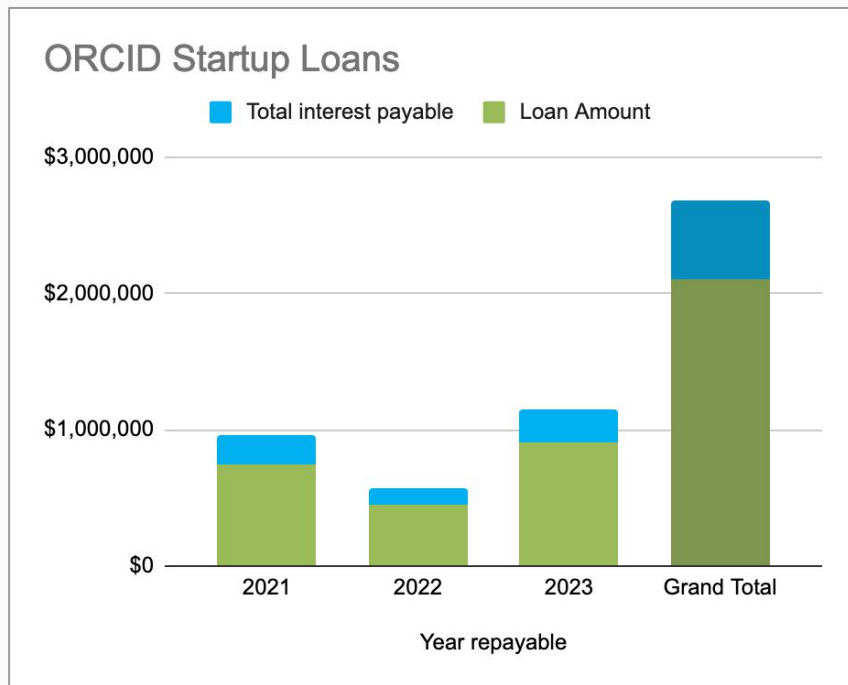
Global Participation Fund (GPF)

- Two grant programs:
 - **Community Development and Outreach** to fund local partners to build ORCID communities of practice in the Global South
 - **Technical integration** to fund development of systems that are likely to drive participation in the Global South
- Made possible by the generosity of ORCID's founding lenders (admin costs funded by ORCID)

ORCID received start-up loans in 2011–2013 which are now coming due for repayment



- \$2.1m in total loans:
 - All with a 10-year period
 - 2.75% annual interest
- Predominantly from publishers and publisher organizations:
 - AIP
 - APS
 - **Copyright Clearance Center**
 - Crossref
 - CUP
 - Elsevier
 - Hindawi
 - IEEE
 - IOP
 - Oxford University Press
 - PLOS
 - RSC
 - Sage
 - SpringerNature
 - Taylor & Francis Group
 - The Royal Society
 - Wiley



Fund Objectives and Structure

The focus and goals of the Fund align with ORCID's strategic objective to increase global participation



Focus Communities

Organizations engaged in research and scholarship in low- and lower-middle-income countries, particularly in the Global South, where ORCID participation to date has been low.

Goals

Remedy ORCID participation gaps in the focus communities area by providing grants to:

- Develop ORCID Communities of Practice in low- and lower-middle-income countries
- Build understanding and use of ORCID in local contexts
- Create and enhance technical integrations to support these burgeoning communities

Initially, we are launching two distinct grant programs targeting the focus communities



1. Community Development and Outreach Grants

- BUILD COMMUNITIES: support local partners to build ORCID Communities of Practice
- SUPPORT COMMUNITIES: local outreach, training, and tech support resources to create & grow ORCID consortia in the focus communities

2. Technical Integration Grants

- BUILD INTEGRATIONS: build/update ORCID integrations in open-source systems that are likely to support and encourage ORCID participation by those in the focus communities
- BUILD UTILITIES: create/improve open-source components, libraries, or resources that will enable or facilitate ORCID participation for those in the focus communities
- SUPPORT USE: creation documentation, outreach, and support for resources created through this Grant Program

The structure of the program supports a diversity of grantees to spread the risk and increase the chance of positive outcomes



2

Grant cycles per year

~5

Grants per cycle

\$5–20k

Funding award per grant

Other Grantee Benefits

- Dedicated ORCID staff contact
- Invitation to participate in the ORCID grantee forum and community
- Invitations to Grantee community events
- Invitations to ORCID virtual and in-person events
- Inclusion in ORCID's ongoing communications program

Grant Monitoring and Evaluation

We have established seven evaluation criteria to determine which grantees are most likely to have the largest impact on the fund objectives

1. Benefits the Fund's Target Communities
2. Supports local partners
3. Program completeness / justification
4. Feasibility
5. Relationship to the organization's mission/goals
6. Use of resources / approach efficiency
7. Sustainability

Each criterion will be rated on a scale of zero to four

Criterion	4 Exemplary	3 Adequate	1 Needs Improvement	0 Insufficient Evidence
Benefits the Fund Target Group	The project is highly targeted toward this group in specific ways that demonstrate a distinct understanding of the Fund Target Group. The proposers have demonstrated specific successes in benefiting this Group with similar projects in the past.	The project focuses on the Fund Target Group in a general way. The proposal doesn't demonstrate any specific knowledge or insight about the Target Group. The proposers have worked with the Target Group but in ways that are different from the project proposed.	The project describes a project that they imagine will benefit the target group, though have little to no experience in working with the Target Group.	The Target Group is not specifically mentioned or inaccurate information about this Group is provided.
Supports local partners	All members of the project delivery team are local partners with deep relationships with others in the community that they may leverage for support.	Some members of the project delivery team are local partners. If the proposal does not describe other local relationships, it includes a specific plan for developing these relationships.	The proposer is local, though little to no evidence is provided about working with others in the community.	Unconvincing or no evidence of working with local partners.
Program completeness / justification	Strong rationale and significance of proposed work. The project addresses specific needs and the approach for addressing them.	The rationale and significance presented are either too broad or too specific, but the overall justification holds.	Weak presentation of project need, or a tenuous argument for the proposer's ability to fulfill the need.	Unconvincing or no evidence of project need, or the proposal does not address the stated need.
Feasibility	The proposed personnel, project activities, timeline and resource use are congruent with project description and outcomes.	The proposal has a tolerable deficiency or overestimates in aspects of the personnel, project activities, timeline, or other resources needed, but outcomes appear achievable despite gaps or leaps.	The project's described personnel, project activities, timelines or other needed resources expose weaknesses in the plan design. Outcomes are unlikely to be achieved in the project's current form.	Insufficient information about personnel, project activities, timelines or other needed resources. Unable to gauge feasibility
Relationship to the organization's mission/goals	The project is highly aligned with the proposer's mission, vision, and goals as well as with that of the community it is designed to serve.	The project aligns with the goals of the organization or with that of the community, but not both.	The project is tangentially but not directly related to the organization's strategic vision or the community's goals	No explicit relationship between the project and the agenda of the proposer's organization or the community.

Recap — Fund Objectives



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The two grant programs target different but overlapping fund goals

FUND GOAL	Community Dev and Outreach Grants	Technical Integration Grants
1. Develop ORCID Communities of Practice	Financially support local partners to build ORCID Communities of Practice in the Focus Communities .	
2. Build understanding and use of ORCID	Support local outreach, training, and tech support resources for the creation and growth of ORCID consortia that serve organizations in the Focus Communities .	Support the creation of technical documentation, outreach, and support for resources created through this Grant Program .
3. Realize ORCID Benefits Through Integrations		Fund software development to build and update ORCID integrations in open-source systems that are likely to support and encourage participation by those in the Focus Communities . Integrations should be in line with our best practice guidelines Support the creation and improvement of open-source components, libraries, or resources that will enable or facilitate ORCID participation for those in the Focus Communities .

Thank you!
Any questions?